

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Albert *et al.*
SERIAL NUMBER: Not yet assigned **ART UNIT:** Not yet assigned
FILING DATE: Herewith **EXAMINER:** Not yet assigned
TITLE: ELECTROSTATICALLY ADDRESSABLE ELECTROPHORETIC
DISPLAY

Particulars of Prior Application:

Serial No.: 09/140,860
Filed: August 27, 1998
Group No.: 2879
Examiner: J. L. Williams

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Pursuant to 37 C.F.R. § 1.98 (d), patents and publications listed on the accompanying Form PTO-1449 were previously cited and made of record in prior application, United States Serial No. 09/140,860, which is relied upon by the present application for an earlier effective filing date under 35 U.S.C. § 120. Accordingly, copies of the references are not enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114.


It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

Date: December 5, 2003
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Respectfully submitted,



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FORM PTO - 1449

INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: INK-029C1 (2108/16)

APPLICANT(S): Albert *et al.*

SERIAL NO.: 09/140,860

FILING DATE: 8/27/98

GROUP: 2774

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	3,668,106	6/72	Ota	358	305	
	A2	3,806,893	4/23/74	Ohnishi <i>et al.</i>	340	173	
	A3	3,850,627	11/26/74	Wells <i>et al.</i>	96	1.3	
	A4	3,892,568	7/1/75	Ota	96	1.3	
	A5	4,041,481	8/9/77	Sato	340	324	
	A6	4,045,327	8/30/77	Noma <i>et al.</i>	204	299	
	A7	4,068,927	1/17/78	White	350	160	
	A8	4,071,430	1/31/78	Liebert	204	299	
	A9	4,088,395	5/9/78	Giglia	350	357	
	A10	4,123,346	10/31/78	Ploix	204	299	
	A11	4,126,854	11/21/78	Sheridon	340	373	
	A12	4,149,149	4/10/79	Miki <i>et al.</i>	340	753	
	A13	4,203,106	5/13/80	Dalisa <i>et al.</i>	340	787	
	A14	4,218,302	8/19/80	Dalisa <i>et al.</i>	204	299	
	A15	4,305,807	12/15/81	Somlyody	204	299	
	A16	4,418,346	11/29/83	Batchelder	340	787	
	A17	4,430,648	2/7/84	Togashi <i>et al.</i>	340	718	
	A18	4,450,440	5/22/84	White	340	753	
	A19	4,522,472	6/11/85	Liebert <i>et al.</i>	350	362	
	A20	4,648,956	3/10/87	Marshall <i>et al.</i>	204	299	
	A21	4,726,662	2/88	Cromack	350	345	
	A22	4,732,456	3/88	Ferguson <i>et al.</i>	350	334	
	A23	4,741,604	5/3/88	Kornfeld	350	362	
	A24	4,772,102	9/88	Ferguson <i>et al.</i>	350	338	
	A25	4,824,208	4/89	Ferguson <i>et al.</i>	350	276 SL	

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				FILING DATE: 8/27/98		GROUP: 2774	
	A26	4,832,458	5/89	Ferguson <i>et al.</i>	350	338	
	A27	5,057,244	10/91	Nitta <i>et al.</i>	252	501.1	
	A28	5,105,185	4/14/92	Nakanowatari <i>et al.</i>	340	784	
	A29	5,223,823	6/29/93	DiSanto <i>et al.</i>	340	787	
	A30	5,250,932	10/5/93	Yoshimoto <i>et al.</i>	345	206	
	A31	5,250,938	10/5/93	DiSanto <i>et al.</i>	345	107	
	A32	5,254,981	10/19/93	DiSanto <i>et al.</i>	345	107	
	A33	5,293,528	3/8/94	DiSanto <i>et al.</i>	345	107	
	A34	5,302,235	4/12/94	DiSanto <i>et al.</i>	156	643	
	A35	5,304,439	4/19/94	DiSanto <i>et al.</i>	430	20	
	A36	5,315,312	5/24/94	DiSanto <i>et al.</i>	345	107	
	A37	5,345,251	9/6/94	DiSanto <i>et al.</i>	345	107	
	A38	5,359,346	10/25/94	DiSanto <i>et al.</i>	345	107	
	A39	5,389,945	02/95	Sheridon	345	85	
	A40	5,402,145	3/28/95	DiSanto <i>et al.</i>	345	107	
	A41	5,412,398	5/2/95	DiSanto <i>et al.</i>	345	107	
	A42	5,460,688	10/24/95	DiSanto <i>et al.</i>	216	5	
	A43	5,467,107	11/14/95	DiSanto <i>et al.</i>	345	107	
	A44	5,499,038	3/12/96	DiSanto <i>et al.</i>	345	107	
	A45	5,561,443	10/1/96	DiSanto <i>et al.</i>	345	107	
	A46	5,565,885	10/15/96	Tamanoi	345	100	
	A47	5,575,554	11/19/96	Guritz	362	103	
	A48	5,627,561	5/6/97	Laspina <i>et al.</i>	345	107	
	A49	5,684,501	11/4/97	Knapp <i>et al.</i>	345	94	
	A50	5,689,282	11/18/97	Wolfs <i>et al.</i>	345	100	
	A51	5,717,515	2/10/98	Sheridon	359	296	
	A52	5,729,663	3/17/98	Lin <i>et al.</i>	395	109	
	A53	5,739,801	4/14/98	Sheridon	345	84	

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					FILING DATE: 8/27/98		GROUP: 2774		
	A54	5,786,875	7/28/98	Brader <i>et al.</i>			349	20	
	A55	6,323,989	11/01	Jacobson <i>et al.</i>			359	296	
FOREIGN PATENT DOCUMENTS									
EXAM INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
	B1	DE4431441C1	02/15/96	DE			09/03/94	Y	Abstract
	B2	DE19500694A 1	08/08/96	DE			01/12/95	Y	Abstract
	B3	0186710A1	07/09/86	EP			06/13/85	N	Y
	B4	0361420A2	04/04/90	EP			09/27/89	N	Y
	B5	0404545A2	12/27/90	EP			06/20/90	N	Y
	B6	0443571A2	08/28/91	EP			02/21/91	N	Y
	B7	0525852A1	02/03/93	EP			07/02/92	N	Y
	B8	0684579A2	11/29/95	EP			04/28/95	N	Y
	B9	GB2306229A	04/30/97	GB			09/09/96	N	Y
	B10	JP9031453A	02/04/97	JP			07/18/95	Y	Y
	B11	JP01086116	03/30/89	JP			09/29/87	Y	Y
	B12	JP6089081	03/29/94	JP			03/19/93	Y	Y
	B13	JP07036020	02/07/95	JP			07/19/93	Y	Y
	B14	JP55096922	07/23/80	JP			01/19/79	Y	Y
	B15	JP62058222	03/13/87	JP			09/09/85	Y	Y
	B16	JP10149118A	06/02/98	JP			11/21/96	Y	Y
	B17	WO92/17873	10/15/92	PCT			03/10/92	N	Y
	B18	WO92/20060	11/12/92	PCT			05/01/92	N	Y
	B19	WO92/21733	12/10/92	PCT			05/30/91	N	Y
	B20	WO93/02443	02/04/93	PCT			07/15/91	N	Y
	B21	WO93/04458	03/04/93	PCT			08/21/92	N	Y
	B22	WO93/04459	03/04/93	PCT			08/17/92	N	Y
	B23	WO93/05425	03/18/93	PCT			08/29/91	N	Y

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	B24	WO93/07608	04/15/93	PCT			10/07/91	N	Y
	B25	WO93/17414	09/02/93	PCT			01/29/93	N	Y
	B26	WO95/06307	03/02/95	PCT			08/15/94	N	Y
	B27	WO95/07527	03/16/95	PCT			08/15/94	N	Y
	B28	WO95/10107	04/13/95	PCT			09/26/94	N	Y
	B29	WO97/35298	09/25/97	PCT			02/26/97	N	Y
	B30	WO98/19208	05/07/98	PCT			10/17/97	N	Y
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	C1	W.S. Quon, "Multilevel Voltage Select (MLVS): A Novel Technique to X-Y Address an Electrophoretic Image Display" <u>Trans. On Electron Devices</u> ED24(8):1121-1123 (1977)							
	C2	A.L. Dalisa, "Electrophoretic Display Technology" <u>Trans. On Electron Devices</u> ED24(7):827-834 (1977)							
	C3	B. Singer <i>et al.</i> , "An X-Y Addressable Electrophoretic Display" <u>Proc. Of the SID</u> 18(3&4):255-266 (1977)							
	C4	M. Saitoh <i>et al.</i> , "A Newly Developed Electrical Twisting Ball Display" <u>Proc. of the SID</u> 23(4):249-251 (1982)							
	C5	S.F. Blazo, "10.1/9:00 A.M.: High Resolution Electrophoretic Display with Photoconductor Addressing" <u>SID 82 Digest</u> , pp 92-93 (1982)							
	C6	N.K. Sheridon <i>et al.</i> , "10.2/9:25 A.M.: A Photoconductor-Addressed Electrophoretic Cell for Office Data Display" <u>SID 82 Digest</u> , pp 94-95 (1982)							
	C7	C. Kornfeld, "9.5: A Defect-Tolerant Active-Matrix Electrophoretic Display" <u>SID 84 Digest</u> , pp 142-144 (1984)							
	C8	R.R. Shiffman <i>et al.</i> , "An Electrophoretic Image Display with Internal NMOS Address Logic and Display Drivers" <u>Proc. of the SID</u> 25(2):105-115 (1984)							
	C9	P. Murau, "9.4: Characteristics of an X-Y Addressed Electrophoretic Image Display (EPID)" <u>SID 84 Digest</u> , p 141 (1984)							
	C10	S. Shiwa <i>et al.</i> , "5.6: Electrophoretic Display Method Using Ionographic Technology" <u>SID 88 Digest</u> , pp 61-62 (1988)							
	C11	N.A. Vaz <i>et al.</i> , "Dual Frequency Addressing of Polymer-Dispersed Liquid-Crystal Films" <u>J. Appl. Phys.</u> 65(12):5043-5050 (1989)							
	C12	M. Yamaguchi <i>et al.</i> , "Equivalent Circuit of Ion Projection-Driven Electrophoretic Display" <u>IEICE Trans.</u> 74(12):4152-4156 (1991)							

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	C13	H. Hosaka <i>et al.</i> , "Electromagnetic Microrelays: Concepts and Fundamental Characteristics" <u>Sensors and Actuators A</u> 40:41-47 (1994)	
	C14	F.M. Moesner <i>et al.</i> , "Devices for Particle Handling by an AC Electric Field" 1995 <u>IEEE</u> , pp 66-71 (1995)	
EXAMINER		DATE CONSIDERED	

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